

# Sex-Specific Effects of High Yolk Androgen Levels on Constitutive and Cell-Mediated Immune Responses in Nestlings of an Altricial Passerine\*

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## ABSTRACT

Avian embryos are exposed to yolk androgens that are incorporated into the egg by the ovulating female. These steroids can affect several aspects of embryo development, often resulting in increases in overall size or the speed of growth of different traits. However, several studies suggest that they also entail immune costs to the offspring. In this study, we explored whether variation in yolk androgen concentration affected several measures of the constitutive and cell-mediated immune axes in the spotless starling (*Sturnus unicolor*). Using a within-brood design, we injected different doses of androgens (testosterone and androstenedione) into the eggs. Our study showed that experimentally increased yolk androgens led to sex-specific immunosuppression in both the innate and adaptive axes of the immune system. Both cell-mediated immune response (CMI) and lysozyme activity decreased with

increasing androgen levels injected into the egg in the case of male nestlings, whereas there were no effects on females. The effects that we found were always linear: no quadratic or threshold patterns were detected. We found no effects of the experimental treatment in hemolysis or agglutination capacity, but these measures were negatively correlated with CMI, suggesting negative correlation among different branches of the immune system. Blood (trypanosomes and hemosporidians) and intestinal (coccidia) parasites were not affected by the experimental increase of yolk androgen levels. Our results show that in our study species yolk androgens induce immunosuppression in some axes of the male nestling immune system. Further studies should analyze the proximate causes for these contrasting effects in different axes of the immune system and the reason for the differential impact on males and females.

**Keywords:** yolk hormones, testosterone, immunocompetence, parasites, androstenedione, maternal effects, *Sturnus unicolor*.

## Introduction

Avian yolks contain important amounts of several androgens that are produced by female birds during ovulation (Grootuis et al. 2005b; Gil 2008). Yolk androgens have been shown to affect several aspects of embryo development and lead to both short- and long-term effects on various offspring traits (e.g., Strasser and Schwabl 2004; Partecke and Schwabl 2008). Although the effects vary among species, many studies suggest that during embryo and nestling development maternal androgens induce increases in overall size or the speed of growth of different traits (e.g., Pilz et al. 2004; Navara et al. 2006). These increases in growth can result in a modification of within-nest hierarchies among nestlings (Eising et al. 2001; Müller et al. 2004) or in overall differences between broods in growth and survival (Müller et al. 2007).

Given these apparent benefits, the occurrence of important levels of variation in androgen content within and among clutches suggests that androgen deposition may also entail costs for mothers or offspring (Gil et al. 1999). Several possibilities for constraints have been proposed, including mismatches between parental care and nestling demands (Hinde et al. 2010), negative effects on female fertility (Rutkowska et al. 2005), sexually antagonistic effects (Saino et al. 2006), and pleiotropic effects limiting optimal allocation for a given trait

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(Gil 2008). However, the hypothesis that has received the most attention in the literature so far is the immunocompetence-handicap hypothesis (Folstad and Karter 1992), which is based on the negative effects that androgens cause in the immune system. This hypothesis was initially proposed to account for possible costs limiting the development of exaggerated sexually selected traits. The evidence for the immunocompetence-handicap hypothesis is mixed, depending on the group of taxa that is examined (Roberts et al. 2004), but several studies suggest that immune costs, through either a direct or an indirect pathway, can play a role in the balance of costs and benefits of androgens (Owen-Ashley et al. 2004).

In the case of yolk androgens, several lines of evidence suggest that immune costs might limit the amount of androgens that bird eggs contain. For instance, cell-mediated and humoral immunity were found to be reduced in black-headed gull chicks (*Larus ridibundus*) that had been exposed to high levels of androgens in the egg (Groothuis et al. 2005a; Müller et al. 2005). A similar reduction in both branches of the immune system was found in jackdaws (*Corvus monedula*; Sandell et al. 2009). In the same line, experimentally infected house martins (*Delichon urbica*) and great tits (*Parus major*) laid eggs with lower androgen levels than control birds (Tschirren et al. 2004; Gil et al. 2006), although a recent study failed to find an effect after a similar experimental approach (Heylen et al. 2012). However, further studies in other species have found an enhanced immune response in nestlings hatched from androgen-treated eggs (Navara et al. 2006) or no effects (e.g., Andersson et al. 2004; Tschirren et al. 2005; Rubolini et al. 2006a; Pitala et al. 2009) or else have uncovered roles for additional factors that affect this pattern. For instance, in zebra finches, Rutkowska et al. (2007) found that whereas experimental male nestlings suffered a reduction in T-cell response, the pattern was inverted for female chicks. Also, in a previous study our team found that immune responses could actually be enhanced by yolk androgen injections, in this case in first broods of spotless starlings, presumably because of high resource availability (Muriel et al. 2015b).

Several studies have suggested that the trade-off between immunity and yolk androgens could arise by a variety of mechanisms, including the specific inhibition of immunity by androgens, based, for instance, on the presence of androgen receptors in some immune cells (Ahmadi and McCrudden 2006; Gil and Culver 2011) or through the activation of a corticosteroid route to immune inhibition (Owen-Ashley et al. 2004). Regardless of the mechanism, a basic prediction is that there should be a trade-off between growth and immunity.

On the other hand, the immunological system is a set of defense mechanisms composed of several axes, including innate and adaptive immunity, and comprising cell-mediated and humoral responses. Therefore, it is not possible to measure the overall strength and efficiency of the immune system with a single immune assay (Adamo 2004). Previous studies have shown that correlations among immune responses need not be positive and that individuals may trade off different aspects of their immunocompetence (Salvante 2006; Forsman et al. 2008; Palacios et al.

2012). Trade-offs could be a consequence of an imbalance in the distribution of resources; several studies have shown that innate and adaptive immune responses may differ in costs (Lee et al. 2008; Palacios et al. 2009). Thus, any variation in maternally derived components, such as androgens, may mediate increased investment in one branch of immunity at the expense of another. For example, Clairardin et al. (2011) found that increased in ovo testosterone induced a trade-off between bactericidal activity and cutaneous immune response in house wrens (*Troglodytes aedon*). In a similar study conducted in zebra finches (*Taeniopygia guttata*), elevation of egg testosterone levels also resulted in long-lasting positive effects on humoral, but not cell-mediated, immune function (Tobler et al. 2010).

One problematic aspect in the study of yolk androgens is the variation in the injected doses in the different experiments conducted so far (Muriel et al. 2015a). This variation is likely to be a major cause of the diversity of effects found across studies, and it limits the general inferences that we can draw. Experimental dosage is an important issue, because steroids are known to present nonlinear, dose-response effects (Navara et al. 2005). We have previously shown (Muriel et al. 2015a) a variety of dose-response patterns, depending on the morphological trait that is studied, in the spotless starling (*Sturnus unicolor*). In this study, we use a similar approach to inquire about the effects of an increasing amount of yolk androgens in a suite of immune responses and health status in the same species. We explore several components of the immune response, including cell-mediated immune response (CMI), hematological traits, and several measures of constitutive immunity, including antibacterial capacity of plasma and lysozyme activity. Since immune deficiency is expected to lead to a reduction in the capacity of the organism to withstand attacks by parasites, we also analyze differences in the community of blood (trypanosome and hemsporidians) and intestinal (coccidia) parasites.

## Material and Methods

### Study Species and Site

The experiment was conducted in a large nest-box colony of spotless starlings (*Sturnus unicolor*) located near Madrid (Soto del Real). Yolk hormone manipulations were conducted between mid-April and mid-May 2010. The study area is a mixed woodland, used for cattle grazing, mostly composed of oak (*Quercus pyrenaica*) and ash (*Fraxinus angustifolius*). The spotless starling is a relatively long-lived, colonial, and sedentary passerine species that exhibits a facultatively polygynous breeding system (Moreno et al. 1999; Veiga 2002). This omnivorous songbird (Peris 1980) is sexually dimorphic, males being larger than females (Hiraldo and Herrera 1974). Incubation usually starts before the last egg is laid (3–6 eggs per clutch), and it is done mainly by females (for approximately 12 d). Generally, females invest more than males in rearing the brood (Jimeno et al. 2014), although paternal care varies widely (Moreno et al. 1999). The nestling period lasts about 21–22 d (Cramp 1998). Females can lay up to two clutches per season,

the first in early April and the second about the end of May in our study area (López-Rull et al. 2011). A replacement clutch could be laid as a result of the loss of the first clutch by predation or intraspecific competition (Müller et al. 2007; Muriel et al. 2015a). In this study, we used chicks belonging to first (from April 15 to May 19) and replacement broods (from May 9 to June 13). The daily average maximum temperature and precipitation (mean  $\pm$  SE) recorded for each breeding attempt for the year of study were  $16.82^\circ \pm 0.77^\circ\text{C}$  and  $2.35 \pm 0.84 \text{ L m}^{-2}$  for the first brood and  $21.07^\circ \pm 0.99^\circ\text{C}$  and  $1.79 \pm 0.60 \text{ L m}^{-2}$  for replacement broods (data provided by the Spanish Meteorological Agency).

### *Egg Injections*

From the end of March onward, nest boxes were inspected each day to determine laying date and order. Eggs were marked with a waterproof marker as they were laid. To minimize nest disturbance, injection of the first three eggs was carried out when the third egg was found in the nest, before incubation. All subsequent eggs were injected as they were laid, since incubation may already start with four eggs. Clutches were randomly assigned to one of three experimental treatments. Within each clutch, eggs were alternatively injected with either control or experimental injections, following laying order. The sequence of injections (i.e., starting with experimental or control) was modified between consecutive nests.

Experimental injections consisted of a combination of testosterone (T) and androstenedione (A4) dissolved in  $10 \mu\text{L}$  of sesame oil (ref. 85067, Sigma-Aldrich, Steinheim, Germany). We chose this combination of hormones because they appear together in the yolk (Schwabl 1993) and their concentrations are positively correlated (Groothuis and Schwabl 2002; Gil et al. 2004; Ruuskanen et al. 2009). Control eggs received an injection of sesame oil only ( $10 \mu\text{L}$ ). Experimental eggs received one of the following treatments: (1) low androgen dose: 12 ng T and 34 ng A4 (refs. 86500 and A9630, respectively; Sigma-Aldrich); (2) intermediate androgen dose: 24 ng T and 68 ng A4; or (3) high androgen dose: 48 ng T and 136 ng A4. The low, intermediate, and high doses were equivalent to, respectively, 2, 4, and 8 SDs of the population means for an average 1.4-g egg (T: 14 ng/yolk [SD = 6.0], A4: 50 ng/yolk [SD = 17.1]; Müller et al. 2007). The maximum levels of yolk T and A4 that we have measured in this population are 36.3 and 198.4 ng/yolk, respectively (Müller et al. 2007). The high-dose injections that we used (8 SD) are slightly above and below, respectively, the maximum levels of T and A4 found in our population. Note, however, that previous studies indicate that injections in ovo do not necessarily mimic the natural distribution of hormones in the yolk, leading to variable exposure of the developing embryo (von Engelhardt et al. 2009). In addition, potential degradation or incomplete incorporation of the injected androgens into the yolk must be considered (Navara et al. 2005), as not all the hormone injected is finally assimilated by the developing embryo. In ovo injections were performed in the field with a standard U-50 insulin

syringe (Terumo, Tokyo), following a standard protocol described elsewhere (Muriel et al. 2013, 2015a).

The experiment was carried out in 88 clutches, but we could use only 41 of them because of predation, destruction by other females, or impossibility of assigning hatchlings to their experimental group (this is not an unusual rate of nest failure in this population; Müller et al. 2007). We included in the analysis data from 153 chicks (75 males and 78 females), although sample sizes for the different tests differ because we were not able to collect enough blood from some individuals to perform all the immunological assays.

### *Nestling Data and Sampling*

Broods were visited frequently around the predicted hatching date (10–11 d after the last egg was laid) to enable us to detect nestlings as they hatched and assign them to their specific experimental group. Chicks were labeled by subtle cuttings in their down.

Nestlings were measured on days 3, 6, 10, and 14 after hatching, in order to record growing patterns for a parallel study (Muriel et al. 2015a). In this analysis, we use data from day 14, the moment of blood sampling, and the increase in mass from day 3 to day 14, which is referred to as “growth.” Body mass was recorded with a digital balance (Ohaus Scout II SC2020, Shanghai, China; accuracy = 0.1 g) and tarsus length with digital calipers (Mitutoyo Absolute, Kawasaki, Japan; accuracy = 0.01 mm). All measurements were performed by the same person (J.M.), blindly to individual treatment whenever possible.

After measuring T-cell-mediated immune response (see below), we took a blood sample ( $600 \mu\text{L}$ ) of each nestling on day 15 after hatching. This sample was extracted from the jugular vein with heparinized syringes. Samples were kept on an ice box until arrival at the lab, and plasma was separated from the cell pellet by centrifuging at  $5,000 g$  and  $4^\circ\text{C}$  for 10 min. Plasma was stored in two separate aliquots at  $-80^\circ$  until analysis. Also, fecal samples were collected from 142 of the 153 nestlings while they were handled; the samples were kept in ice until arrival at the lab and stored at  $-80^\circ$  until analysis. In order to determine the presence of coccidian infections (Watson and Sukumar 1995), fecal samples were collected in the afternoon (1700–2100 hours), since previous studies have suggested that oocyst discharge is much greater in the afternoon than in the morning (Dolnik 1999; Brown et al. 2001).

### *DNA Extraction and Molecular Sexing*

DNA extraction from blood samples was performed with an ammonium acetate method (Bensch and Akesson 2003). Sex determination was carried out by amplifying (through polymerase chain reaction [PCR]) an intron of the CHD1 genes on the avian sex chromosomes (Griffiths et al. 1998). PCR products were electrophoresed for 60–90 min at 100 V in 1.5% agarose gels stained with SYBR Safe (Invitrogen, Carlsbad, CA) and were visualized under ultraviolet light. In order to assure

accurate assignment of the sex, the DNA extraction and PCR were carried out twice for 32 of the 153 samples. In all cases, the sex determination was identical.

#### *Cell-Mediated Immune Response*

We evaluated CMI on 14-d-old nestlings, using a dermal phytohemagglutinin (PHA) reaction in the wing web, following a standard protocol (Smits et al. 1999). After taking three measures of the thickness of a plucked area of the left wing web with a thickness gauge (Mitutoyo, Tokyo) to the nearest 0.01 mm, we injected subcutaneously at that point 0.05 mL of a 5-mg/mL solution of PHA (L-8754, Sigma-Aldrich). After  $24 \pm 1.3$  h, we took three new measurements of the thickness of the left wing web at the same point. As the repeatability of the measurements is high (Smits et al. 1999), we used the mean for statistical analysis. Cell-mediated immunocompetence was estimated as the difference between initial and final measurements of the left wing web swelling (Smits et al. 1999). All measurements were done by the same individual (J.M.), blindly with respect to treatment.

#### *Leukocyte and Hemoparasite Counts*

For identification of blood parasites and leucocytes, a drop of blood was smeared on one individually marked microscope slide. Once the blood had air-dried, we fixed the slide by 3 min of immersion in 100% methanol and stained it, using commercial Giemsa diluted with phosphate-buffered saline (PBS), pH 6.8 (1:2). Slides were examined under the microscope with the oil immersion objective ( $\times 1,000$  magnification) to estimate the proportions of different types of leucocytes and hemoparasites (Merino et al. 2001; Campbell and Ellis 2007). Estimates of the total white blood cell (WBC) count and intensity of infection were calculated per approximately 10,000 erythrocytes. Differential leukocyte counts were obtained by multiplying their proportions with respect to WBCs, which were classified as heterophils, eosinophils, basophils, lymphocytes, or monocytes. We also took the ratio of heterophils to lymphocytes (H/L) and the total leukocyte count as measures of physiological stress and immunity, respectively, in birds (Gross and Siegel 1983; Maxwell and Robertson 1998). By screening smears, we could identify intra- or extraerythrocytic hemoparasites only to the genus level. One person (J.M.) conducted all cell and parasite counts to eliminate variation between observers.

#### *Coccidia Abundance*

Protozoan coccidia are one of the most common intestinal parasites in birds (Zinke et al. 2004; Svobodova et al. 2015). Quantitative analysis of coccidian oocysts found in each fecal sample was carried out with a flotation technique (Villanúa et al. 2006). Fecal samples were extracted from collection tubes and extended in filter paper for 5 min to remove formaldehyde remnants. After that, approximately 0.5 g of feces was homog-

enized and suspended in 5 mL of a saturated  $\text{ZnSO}_4$  solution (specific density: 1.18). Oocyst counts were performed with a MacMaster chamber, and calculation of their concentration (oocysts/g of feces) took into account the exact weight (to the nearest 0.001 g) of each sample. On the basis of oocyst morphology (four sporozoites within each of two sporocysts), the coccidia detected were identified as *Isospora* spp., a protozoan gut parasite belonging to the *Eimeria* complex. Because of its direct life cycle, avian *Isospora* species require no vector for the spread of infection, and transmission occurs if an appropriate host ingests sporulated oocysts (Fayer and Reid 1982). We did not detect other intestinal parasite propagules in the samples analyzed.

#### *Hemolysis-Hemagglutination Assays*

Levels of natural antibodies and complement were quantified following Matson et al. (2005). We prepared two-fold serial dilutions of plasma into PBS across a 96-well plate, using one row per bird (12 wells). Each row contained plasma at dilutions ranging from 1 through 1:1.024 in volumes of 25  $\mu\text{L}$ . We added to each well 25  $\mu\text{L}$  of a 0.1% sheep red blood cell (SRBC) suspension prepared with blood extracted from a sheep housed at the Dehesa de Galiana farm (Ciudad Real, Spain) less than 48 h before the assay and stored refrigerated until processing. Plates were covered and incubated at 37°C for 60 min, tilted at a 45° angle for an additional 60 min, and then scanned under a stereomicroscope. We scored each sample by taking the value of the lowest plasma dilution that was sufficient to induce hemagglutination and hemolysis. Therefore, higher scores reflect, respectively, higher levels of natural antibodies and higher combined activity of the complement with natural antibodies. All scores were performed by the same person (M.E.O.-S.), who was blind to the identity of each sample. Repeatabilities, for a subset of 31 random samples assayed in duplicate, were high for both hemolysis ( $r = 0.86$ ,  $F_{30,31} = 13.8$ ,  $P < 0.001$ ) and hemagglutination ( $r = 0.91$ ,  $F_{30,31} = 20.1$ ,  $P < 0.001$ ).

#### *Lysozyme Activity*

Lysozyme is one of the main antimicrobial proteins of the blood, being produced by most types of leukocytes (Gill 1995). To measure lysozyme activity of plasma samples, we used a 600-mg/L suspension of *Micrococcus lysodeikticus* (ref. M3770-5G, Sigma-Aldrich) in PBS. In each well of a 96-well plate, we added 200  $\mu\text{L}$  of this bacterial suspension to 20- $\mu\text{L}$  plasma or PBS wells, which were used as blanks. The activity of lysozyme is proportional to the rate of absorbance reduction because of the lysis of *M. lysodeikticus* present in the suspension. To quantify this process, plates were incubated at 37°C, and we measured absorbance at 850 nm at 15, 30, 45 and 60 min, using a microplate reader (Biotek Powerwave XS2, Winooski, VT). Plasma samples were assayed in duplicate. For each sample, we calculated the regression slope between absorbance (after subtracting the absorbance of blanks) and time. In order to quantify lysozyme con-

centrations (in  $\mu\text{g}/\text{mL}$ ), a standard curve elaborated by serially diluting crystallized lysozyme (ref. L-6876, Sigma-Aldrich) in PBS was also included in all plates and treated in the same way as plasma samples. The repeatability of lysozyme quantification was high ( $r = 0.90$ ,  $F_{48,49} = 19.9$ ,  $P < 0.001$ ).

### Statistical Analysis

All calculations were performed in the R language, version 3.1.0 (R Development Core Team 2015). We analyzed the data, applying general linear mixed models with the *lmer* function in the “lme4” package (Bates et al. 2014), and, depending on the data distribution, we used Gaussian, Poisson, or binomial negative distributions. Box-cox transformations were performed on the raw data and followed the formula  $x' = (x^\lambda - 1)/\lambda$ , where  $\lambda$  was specific to each measurement as follows: swelling response to PHA: 0.56; body mass at 14 d of age: 3.87; lysis levels: 0.86; agglutination: 1.15; growth: 3.14; lysozyme activity:  $-1.16$ ; H/L:  $-0.29$ ; eosinophils/total leukocytes: 0.40; and total leukocytes/erythrocytes: 0.25. The remainder of measurements did not require transformation. Brood (i.e., first vs. replacement), growth, experimental treatment, and sex were entered as fixed factors (predictor variables). Treatment was treated as continuous rather than categorical because androgen doses increased stepwise (Muriel et al. 2015a) and because we explicitly wanted to test whether effects conformed to a linear or a quadratic relationship (Navara et al. 2005; Muriel et al. 2015a). In all cases, nest was defined as a random effect affecting the model intercept. Since treatment may influence hatching speed and body mass (Muriel et al. 2015a), we also included embryonic developmental period (days to hatch) and body weight at 14 d of age as fixed factors in the initial models. All biologically meaningful double interactions were included in the original model. Information criteria (lowest Akaike information criterion value) were used to select the final models.

## Results

### Cell-Mediated Immune Response

The best-supported model to explain the swelling response to PHA showed an interaction between treatment and sex, indicating that immune response was differentially affected by treatment in males and females (table 1). The quadratic effect of treatment was not retained in the model. The significant interaction resulted from negative and positive trends for males and females, respectively (fig. 1). However, separate linear mixed models for males and females failed to find a significant pattern of treatment in either sex (males:  $\chi^2 = 2.07$ ,  $P = 0.15$ , estimate [SE] =  $-0.045$  [0.03]; females:  $\chi^2 = 2.86$ ;  $P = 0.09$ , estimate [SE] =  $0.051$  [0.03]). Furthermore, after body size was controlled for, birds that grew more during their nestling period also had stronger immune responses (fig. 2). Embryonic developmental period did not affect swelling response. Irrespective of treatment, CMI showed a seasonal decline in replacement broods and lower responses in females (table 1).

Table 1: Results of the linear mixed model testing the predictors of nestling cell-mediated immune response (swelling response), where nest is declared as random effect

Term	$\chi^2$	$P$	Estimate (SE)
Treatment	1.01	.317	-.031 (.03)
Sex	4.54	.033	-.375 (.17)
Preswelling	.34	.555	.046 (.08)
Brood	4.30	.038	-.393 (.19)
Body mass	2.10	.147	-.245 (.17)
Growth	7.42	.006	.497 (.17)
Treatment $\times$ sex	4.91	.027	.095 (.04)

Note.  $\chi^2$  (df = 1) and  $P$  values arise from a type III analysis of deviance on the model. In the case of factors, estimates refer to the second level of each group (females in the case of sex, and replacement broods in the case of brood). Sample size is 153 individuals.

### Constitutive Immunity Measurements

**Hemolysis and Agglutination.** Lysis levels were not affected by treatment, sex, body mass, embryonic developmental period, or growth (all  $P > 0.23$ ), but they strongly increased in replacement broods relative to first broods ( $\chi^2 = 12.32$ ,  $P < 0.001$ ; estimate [SE] for the replacement brood:  $0.72$  [0.20]). Agglutination was similarly dependent on brood ( $\chi^2 = 9.18$ ,  $P < 0.01$ ; estimate [SE] for the replacement brood:  $0.65$  [0.21]), increased with increasing body mass ( $\chi^2 = 6.32$ ,  $P < 0.02$ ; estimate [SE]:  $0.24$  [0.09]), and was not affected by treatment, sex, embryonic developmental period, or growth ( $P > 0.18$ ). The quadratic effect of treatment was not retained in the model.

**Lysozyme Activity in Plasma.** The GLM for lysozyme activity showed a significant interaction between treatment and sex, with males displaying lower levels of lysozyme activity with increasing yolk androgen dose and females displaying no effect (table 2; fig. 3), and neither brood, body mass, embryonic developmental period, nor growth was significant. Separate models for males and females confirmed this interaction, showing a highly significant negative effect of treatment on males ( $\chi^2 = 6.56$ ,  $P < 0.01$ , estimate [SE]:  $-0.09$  [0.03]) and a nonsignificant positive trend for females ( $\chi^2 = 2.24$ ,  $P = 0.14$ , estimate [SE]:  $0.10$  [0.069]). The quadratic effect of treatment was not retained in any of the models.

### Correlations among Immunological Measurements

We ran pairwise mixed models on the normalized scores of the four measurements of immunity that we obtained, controlling for nest as random factor (table 3). The data for agglutination and hemolysis scores show a very strong relationship among them. Cell-mediated immunity is, however, negatively related to agglutination and shows a similar trend with respect to hemolysis. Lysozyme activity stands out as an independent component of the immune system, with very weak relationships with the rest (table 3).

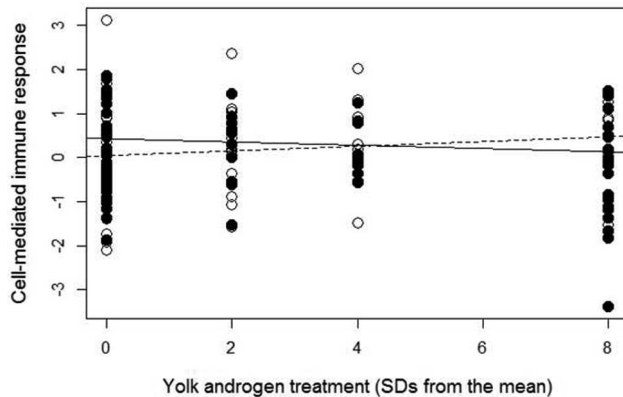


Figure 1. Effects of yolk androgen treatment on cell-mediated immune response (swelling of the wing web in response to phytohemagglutinin injection). Filled circles and the solid line represent males, and open circles and the dotted line represent females.

#### Hematological Traits

We ran individual models for percentages of each leukocyte type over total leukocytes, for total number of leukocytes, and for H/L (table 4), testing the predictors and respecting the specific error distribution of each variable. Treatment was not related to any trait, although there was a nonsignificant tendency ( $P = 0.07$ ) for basophils to decrease with increasing yolk androgen dose. There were no significant differences between sexes in the composition of blood cell types. Eosinophils increased with body mass, whereas H/L decreased. Replacement broods were characterized by significantly lower levels of basophils and monocytes and a reduction in H/L.

#### Parasites

We detected no malaria parasites (*Haemoproteus*, *Plasmodium*, or *Leucocytozoon*) in the first 50 blood smears that we analyzed, and so we stopped checking for them. However, *Trypanosoma* spp. were found, with a moderate prevalence (19.73%,  $N = 152$ ). A binomial GLM showed that the presence of *Trypanosoma* in blood was not related to treatment, sex, or body mass (for all estimates,  $P > 0.37$ ) but strongly increased from first to replacement broods ( $F = 11.23$ ,  $P < 0.001$ ; estimate [SE] for the replacement brood: 2.59 [0.77]). Using a generalized linear mixed model with a negative binomial distribution, we found that the number of coccidia in feces was also not related to treatment, sex, or body mass (all  $P > 0.10$ ) but strongly increased from first to replacement broods ( $\chi^2 = 9.57$ ,  $P < 0.01$ ; estimate [SE] for the replacement brood: 1.64 [0.51]).

#### Discussion

Our study examined how several aspects of nestling innate immunity were modified as a response to an experimental increase in yolk androgen levels. The general pattern indicates that the two sexes react differently in this species, males being more immunologically compromised by yolk androgens than females (see

below). There was no general effect across sexes, even though our treatment encompassed a wide range of yolk androgen doses and was designed to test for possible dose-dependent effects. There were sex-specific patterns for CMI and lysozyme activity, but neither innate lysis, agglutination efficiency, nor general immune condition as measured by hematological traits showed immune suppression. In agreement with these patterns, neither hematological parasites nor coccidial infection was increased as a result of the yolk androgen manipulation.

We detected two sex-specific patterns, in which both male plasma lysozyme activity and male CMI decreased with increasing yolk androgen levels. These results are consistent with a previous study conducted in the same starling population, where male chicks that hatched from yolk androgen-treated eggs suffered a slight suppression of their cell-mediated immune responsiveness (Muriel et al. 2013). A similar pattern has been previously found in the zebra finch *Taeniopygia guttata* (Rutkowska et al. 2007), which matches with the sex-specific effects of yolk androgens on growth in that species (von Engelhardt et al. 2006).

Evidence from previous studies shows that yolk androgen manipulations can affect the growth of males and females differently in some species (Saino et al. 2006; von Engelhardt et al. 2006), and a recent review concluded that these differential effects tend to happen more often in species with strong sexual size dimorphism (Tschirren 2015). In a parallel study using the same experimental setup employed here, we found no sexual differences in the effect of elevated yolk androgen resulting in somatic growth (Muriel et al. 2015a). Therefore, our data suggest that this differential effect of sex is independent of absolute size and is rooted in sex-specific processes, so that only males, the larger sex, pay an extra immunological cost, as previously shown in several studies across a diverse range of species (Fargallo et al. 2002; Müller et al. 2003; Lobato et al. 2008). Since male and female chicks in our study species have similar levels of T (Müller et al. 2007; Gil et al. 2008), the higher immune susceptibility of the former should arise by differences

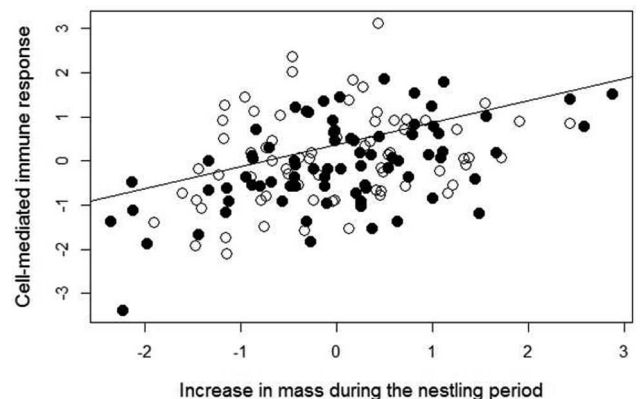


Figure 2. Relationship between cell-mediated immune response (normalized swelling of the wing web in response to phytohemagglutinin injection) and growth during the nestling stage. Filled circles represent males and open circles females.

Table 2: Results of a linear mixed model testing the predictors of nestling lysozyme activity, where nest is declared as random effect

Term	$\chi^2$	<i>P</i>	Estimate (SE)
Treatment	3.17	.074	-.089 (.05)
Sex	.76	.381	-.234 (.27)
Brood	2.63	.104	-.346 (.21)
Body mass	.78	.375	-.185 (.21)
Growth	.04	.833	-.044 (.21)
Treatment $\times$ sex	6.29	.012	.182 (.07)

Note.  $\chi^2$  (df = 1) and *P* values arise from a type III analysis of deviance on the model. In the case of factors, estimates refer to the second level of each group (females in the case of sex, and replacement broods in the case of brood). Sample size is 93 individuals.

in hormone metabolism or function (Møller et al. 1998; Duffy et al. 2000; Moreno et al. 2001; Fargallo et al. 2002).

Most experimental injections of yolk androgens typically use a single dose, and the amount that is injected varies widely between studies (Muriel et al. 2015a). Avian studies have rarely examined dose-response patterns in relation to immunological costs. However, one study has found that high and low doses of T have opposed effects on cell-mediated immunity in nestlings (Navara et al. 2005). In the present study, we found that the effects of androgens on immunity were lineal, increasing with increasing dose, and that no quadratic effects were retained in the models. This is in contrast to growth patterns in morphological traits, which in a parallel study of these birds were found to show a diversity of linear and nonlinear patterns in relation to dose (Muriel et al. 2015a). This can be taken to suggest that the immunological costs that we detected increase monotonically within the doses that we used and that growth patterns result from a compromise between enhanced somatic growth and immune costs. Thus, the contrasted dose-response patterns result from the former being adaptively modulated, whereas the latter are not controlled by the individual. Indeed, theory predicts that benefits derived from high androgen levels are counteracted by negative effects on immunity (Folstad and Karter 1992). In the case of yolk androgen levels, several studies have found support for this hypothesis, in both the cellular and humoral axes of immunity (Müller et al. 2005; Groothuis et al. 2008; Sandell et al. 2009). However, other studies have found no effects (Rubolini et al. 2006b) or conflicting evidence. For instance, in another experiment in the same species, we found that differences in lymphocyte proliferation between treatment and control nestlings varied, depending on the environmental conditions when the study was done (Muriel et al. 2015b). Although our results do not show an interaction between treatment and brood, there may be a broader environmental effect in terms of breeding season. Since differences in environmental conditions during early development may differentially affect male and female offspring (Blondel et al. 2002), it is possible that the adverse weather conditions experienced during first and replacement broods in 2010, cooler and drier than what is usually experienced during this period (Muriel et al. 2015b),

entailed higher immunological costs, especially in androgen-treated males.

Although we found no evidence of any direct statistical correlation between CMI and lysozyme activity in plasma (but see Clairardin et al. 2011), both measurements showed the same sex-specific responses to high yolk androgen levels. These common results in males could be interpreted on the light of common components in the innate and adaptive axes of the immune system (Forsman et al. 2010; Vinkler et al. 2010). In fact, some studies have shown that the PHA swelling response is an integration of both specific and induced immunity and also of constitutive and nonspecific immunity (Martin et al. 2006a; Salaberria et al. 2013). However, contrary to lysozyme activity, CMI was also influenced by several variables. Thus, we found that CMI was positively correlated with nestling growth, which is consistent with previous studies finding a less developed CMI in nestlings with lower growth rates (Hörak et al. 1999, 2000). Since the activation of an immune response has energetic and/or nutrient costs that may interfere with metabolic processes (Demas et al. 1997), the positive relationship between cell-mediated response and the increase in mass that we found suggests that birds did not trade off one against the other.

We found that CMI, as well as several hematological traits, such as basophils and monocytes, decreased as the breeding season advanced, as is often the case in other studies (Sorci et al. 1997; López-Rull et al. 2011). We have previously shown a negative effect of clutch laying date on nestling body condition in the same study population (Muriel et al. 2015a). This pattern is in agreement with the lower immune responses that we detected in this study. This pattern could arise because of lower parental quality, since high-quality birds breed earlier in the season and are probably less likely to lose their first-laid clutches (Brinkhof et al. 1999; Verhulst and Nilsson 2008). In addition, differences can arise because of differences in overall food quantity and quality or differential exposure to parasites and pathogens (Sorci et al. 1997; Biard et al. 2015; Muriel et al. 2015b). In agreement with this possibility, we found an

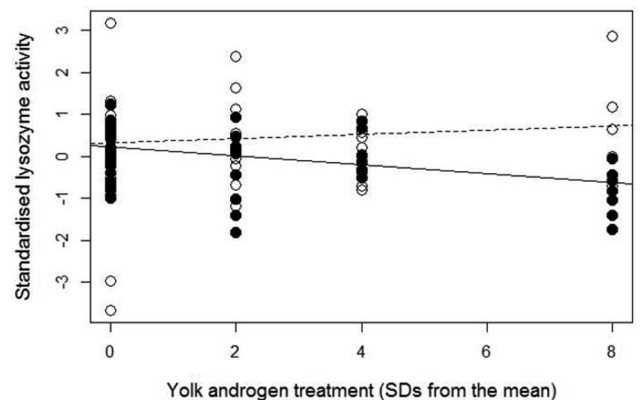


Figure 3. Effects of yolk androgen treatment on lysozyme antibacterial activity. Filled circles and the solid line represent males, and open circles and the dotted line represent females.

Table 3: Correlation estimates (SE) among the four immunological measurements obtained

	Hemolysis	Agglutination	Lysozyme activity
CMI	-.181 (.10)	-.245 (.09)*	-.012 (.09)
Hemolysis		.791 (.07)***	-.004 (.09)
Agglutination			-.019 (.09)

Note. Data obtained from linear mixed models that include only pairwise terms, controlling for nest as random factor. Sample size is 94 individuals. CMI = cell-mediated immune response.

\* $P < 0.05$ .

\*\*\* $P < 0.001$ .

increase of trypanosomes and coccidia in replacement broods compared to first broods.

In a previous study, we used an in vitro assay to study T-cell proliferation patterns in nestlings after yolk androgen manipulation and found that it varied, depending on the season (Muriel et al. 2015b). It is not possible to directly compare those patterns with those reported here because here we have used a measure of the external swelling response, which involves not only proliferation of T-cells but also the secretion of proin-

flammatory cytokines that recruit and activate effector cells and phagocytes such as basophils, heterophils, and macrophages (Martin et al. 2006a; Salaberria et al. 2013) and thus involves both innate and adaptive components of the immune system (Stadecker et al. 1977; Bílková et al. 2015).

The different components of the immune system do not always respond in the same way, and studies show that they can interact and trade off with one another (Martin et al. 2006b; Palacios et al. 2007; Forsman et al. 2008). We found that hemolysis and agglutination (measures of natural antibody levels and complement activation, respectively; Matson et al. 2005) covaried positively, as found before in other avian species (Matson et al. 2006). This correlation is expected because natural antibodies, responsible for hemagglutination, are also involved in the hemolysis process, as antibodies interact with the antigen (SRBC) to create complexes on which complement proteins responsible for lysis act (Matson et al. 2005). However, these two measures covaried negatively with cell immunity, showing, perhaps, different immune strategies between individuals. It is possible that differences in maternal or environmental factors, such as female quality or weather conditions, could explain this pattern, since these two groups of immune responses showed opposite patterns in relation to breeding event (e.g., first vs. replacement clutches). Lysozyme activity, however,

Table 4: Results of models testing the predictors of several hematological traits, where nest is declared as random effect

White blood cell type, terms	$\chi^2$	Estimate (SE)	$P$
Heterophil/lymphocyte ratio:			
Treatment	1.41	.03 (.02)	.234
Sex	.01	-.01 (.15)	.975
Brood	8.64	-.54 (.18)	.003
Body mass	9.30	-.27 (.08)	.002
Eosinophils/total leukocytes (%):			
Treatment	.20	.01 (.02)	.653
Sex	.05	.04 (.15)	.809
Brood	1.70	.26 (.19)	.192
Body mass	6.60	.24 (.09)	.010
Basophils/total leukocytes (%):			
Treatment	3.24	-.03 (.02)	.071
Sex	.87	-.12 (.12)	.350
Brood	45.2	-.90 (.13)	<.001
Body mass	.47	-.05 (.07)	.488
Monocytes/total leukocytes (%):			
Treatment	.01	-.01 (.02)	.989
Sex	1.03	-.15 (.15)	.310
Brood	36.75	-.97 (.16)	<.001
Body mass	.89	-.08 (.08)	.345
Total leucocytes/erythrocytes (%):			
Treatment	2.42	-.04 (.03)	.119
Sex	3.10	.28 (.16)	.078
Brood	.03	.03 (.19)	.853
Body mass	.13	.10 (.09)	.256

Note. Models are either linear mixed models on normalized values, when possible, or generalized linear mixed models with Poisson error distributions.  $\chi^2$  (df = 1) and  $P$  values arise from a type III analysis of deviance on the model. In the case of factors, estimates refer to the second level of each group (females in the case of sex and replacement broods in the case of brood). Sample size is 153 individuals.



behaved independently from any other component of the immune system included in this study. This is not the first time that immunocompetence estimates have been found to differ, showing negative correlation. For instance, it has been found in house wrens that humoral and cell-mediated responses covary negatively among broods, suggesting a trade-off in immunity (Forsman et al. 2008). In fact, a study in that same species has shown that experimentally increased in ovo T induces a trade-off between bactericidal activity and cutaneous immune response (Clairardin et al. 2011). Thus, our results show that immunosuppression resulting from high yolk androgen levels affected components of both the innate and adaptive axes of the immune system in males. Yolk androgens may prime the development and expression of various components of the immune system, such as cell-mediated and humoral immune function, differently (Sandell et al. 2009), with sex- and context-specific consequences (Pigeon et al. 2013).

Immunosuppression due to high androgen levels could make individuals more susceptible to disease. However, we found no evidence for malaria infection in any of the individuals during the nestling phase. This could be due to blood samples being taken too early in development (Merino and Potti 1995; Cosgrove et al. 2006). On the other hand, we found only a few chicks infected with trypanosomes, species that have probably a shorter prepatent period (Merino and Potti 1995). In contrast, the prevalence of infection by coccidia (*Isospora* spp.) was high, although there were no differences in relation to yolk androgen levels. In line with our results, Tschirren et al. (2005) found no indication that high concentrations of yolk T increase the nestling's susceptibility to ectoparasites. Chicks in replacement broods had higher levels of both coccidia and trypanosomes. This pattern is likely due to differences in abiotic factors, such as temperature or humidity (Brooker et al. 2006; Svobodova et al. 2015), or to seasonal differences in diet that would imply different exposure to parasites (Dolnik et al. 2010).

To sum up, our study showed that experimentally increased yolk androgens led to sex-specific immunosuppression of two measures of innate and adaptive axes of the immune system. Despite this, there was no evidence of increased susceptibility to blood or intestinal parasites. We found a negative correlation among different branches of the immune system, suggesting that future studies about the effects of maternal androgens on immune response should consider a wider range of immunological assays that cover as many branches of the innate and adaptive immune system as possible. In addition, we note the importance of exploring the sex- and context-specific effects of yolk androgens to understand more precisely the role of maternal effects in the offspring immune response.

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